OBEGINAL

Christopher Nichols

5-20986A-16-0340

From:

Jacob Wohl <jacob.wohl@montgomeryassets.com>

Sent:

Monday, March 27, 2017 6:50 AM

To:

Christopher Nichols

Subject:

Re: Courtesy Copies



I will appear at tomorrow's hearing via phone. Please inform as to a phone number or conference line to call in to.

Regards,

Jacob Wohl CEO, Montgomery Assets Inc. +1 (424) 284-9208 www.BeverlyHillsInvesting.com

×

Arizona Corporation Commission

DOCKETED

MAR 2 7 2017

DOCKETED BY

AZ CORP COMMISSION
DOCKET CONTROL

1011 MAR 27 A 9: 1: 3

IMPORTANT MESSAGE: This electronic message (including all attachments) is covered by the Electronic Communications Privacy Act 18 U.S.C. 2510-2521, is confidential and legally privileged. Any contents contained in this email is not to be considered tax or financial advice and all contents are to be verified by recipient and their advisors. Contents of this email are dictated and not read. The Parties agree to maintain the confidentiality of all confidential information. Confidential and proprietary information shall include, but is not limited to: any data, documents, scripts, brochures, pamphlets, forms, information or materials regarding the Parties' business relationships and contracts (including individual names of those contacts. Confidential and proprietary information shall further include this Agreement, any fee agreements entered into between the Parties. The Parties further agree to keep all discussions and negotiations (whether preliminary or not) regarding any transaction confidential. The Parties agree that their firm, company, associates, corporations, joint ventures, division, partnerships, subsidiaries, employees, agents, heirs, assignees, designees or consultants will not contact, deal with, negotiate or participate in any transactions with any of the Contacts without first obtaining prior written permission from the Party who provided such contacts. Additionally, the Parties agree to refrain from directly or indirectly contacting anyone for the purpose of avoiding payments or obligations. Contents of this email are dictated and not read.

This e-mail and any attachments are strictly confidential and intended solely for the addressee. It may contain information which is covered by legal, professional or other privilege. If you are not the intended recipient of this document then you must immediately inform the sender at the above address and delete this e-mail and any attachments completely from your computer system. You must not take copies or disclose the contents of this document to anyone, nor take any action based upon it.

Notice: Sender is not a United States Securities Dealer, Broker of US Investment Advisor. This communication (including any attachments) is covered by Electronics Communications Privacy Act 18 U.S.C. 2510-25212701-2710,3121-3126, is confidential & legally privileged. Information contained in this E-Mail message is intended for a specific individual(s) & purpose & therefore is protected from disclosure under that Gramm-Leach-Bliley Act content, nor an offer to sell or buy securities and or Real Estate. If you are not the addressee, or the person responsible for delivering it to the addressee, you are hereby notified that reading, disseminating, distributing or copying this message is STRICTLY PROHIBITED.

On Thu, Mar 23, 2017 at 3:26 PM, Christopher Nichols < CNichols@azcc.gov> wrote:

Mr. Wohl,

	day, I received information that your address may have recently changed. Accordingly, courtesy the documents mailed to you last week are attached. Please contact me at the number below if you questions.
Sincerely,	,
Chris Nich	hols
Enforceme	ent Attorney
Arizona C	Corporation Commission
Securities	Division
1300 W. V	Washington St.
Phoenix, A	Arizona 85007
(602) 542-	<u>-0639</u>